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# Conference of the States Parties to the United Nations Convention against Corruption

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**Open-ended Intergovernmental Working Group on the Prevention of Corruption**
Vienna, 5–7 September 2018

## Annotated provisional agenda

### Provisional agenda

1. Organizational matters:
   (a) Opening of the meeting;
   (b) Adoption of the agenda and organization of work.
2. Implementation of Conference resolutions 7/5, entitled "Promoting preventive measures against corruption", and 7/6, entitled "Follow-up to the Marrakech declaration on the prevention of corruption":
   (a) Thematic discussion on preventing and managing conflicts of interest (article 7, paragraph 4, of the United Nations Convention against Corruption);
   (b) Thematic discussion on asset and interest disclosure systems (article 8, paragraph 5, of the United Nations Convention against Corruption).
3. Recommendations and future priorities.
4. Adoption of the report.

### Annotations

**1. Organizational matters**

**(a) Opening of the meeting**

The meeting of the Open-ended Intergovernmental Working Group on the Prevention of Corruption will be opened on Wednesday, 5 September 2018, at 3 p.m.

**(b) Adoption of the agenda and organization of work**

In its resolution 7/6, entitled "Follow-up to the Marrakech declaration on the prevention of corruption", the Conference decided that the Working Group should continue its work to advise and assist the Conference in the implementation of its mandate on the prevention of corruption and should hold at least two meetings prior to the eighth session of the Conference. In its decision 7/1, the Conference endorsed the multi-year workplan adopted by the Implementation Review Group at its resumed seventh session (CAC/COSP/IRG/2016/9/Add.1, annex I) and the schedule of meetings approved by the extended Bureau at its meeting on 27 August 2017.

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The provisional agenda for the meeting was prepared in accordance with Conference resolution 7/5, entitled "Promoting preventive measures against corruption", in which the Conference decided that the Working Group should include as the topic for 2018 the use and effectiveness of asset declaration systems and conflicts of interest.

The proposed organization of work annexed to the present document was prepared in accordance with the above-mentioned resolutions to enable the Working Group to consider the agenda items within the time and according to the conference services available. The resources available will permit the holding of five plenary meetings, one in the afternoon of 5 September 2018, two on 6 September 2018 and two on 7 September 2018, with full interpretation in the six official languages of the United Nations.

## 2. Implementation of Conference resolutions 7/5, entitled "Promoting preventive measures against corruption", and 7/6, entitled "Follow-up to the Marrakech declaration on the prevention of corruption"

In its resolution 3/2, the Conference stressed the importance of implementing articles 5 to 14 of the United Nations Convention against Corruption to prevent and fight corruption. Also in that resolution, the Conference decided to establish an interim open-ended intergovernmental working group to advise and assist the Conference in the implementation of its mandate on the prevention of corruption and also decided that the working group should perform the following functions:

(a) Assist the Conference in developing and accumulating knowledge in the area of prevention of corruption;

(b) Facilitate the exchange of information and experience among States on preventive measures and practices;

(c) Facilitate the collection, dissemination and promotion of best practices in the prevention of corruption;

(d) Assist the Conference in encouraging cooperation among all stakeholders and sectors of society in order to prevent corruption.

In its resolution 7/6, the Conference welcomed the ongoing efforts of the Working Group to facilitate the sharing of information among States parties and underlined the importance of the conclusions and recommendations of the Working Group at its meetings held in Vienna from 22 to 24 August 2016 and from 21 to 23 August 2017.

In the same resolution, the Conference requested States parties to continue sharing information and requested the Secretariat, subject to the availability of extrabudgetary resources, to continue its work as an international observatory, including by updating the thematic website of the Working Group with relevant information.

In its resolution 6/1, the Conference requested the Secretariat to structure the provisional agendas of the subsidiary bodies established by the Conference in such a way as to avoid the duplication of discussions, while respecting their mandates.

In its resolution 7/5, the Conference decided that the Working Group should include as the topic for 2018 the use and effectiveness of asset declaration systems and conflicts of interest. The topics for discussion at the ninth intersessional meeting of the Working Group, to be held in Vienna from 5 to 7 September 2018, will therefore be:

(a) Preventing and managing conflicts of interest (article 7, paragraph 4, of the United Nations Convention against Corruption);

(b) Asset and interest disclosure systems (article 8, paragraph 5, of the United Nations Convention against Corruption).

At its meeting held in Vienna from 22 to 24 August 2011, the Working Group recommended that in advance of each of its future meetings, States parties should be invited to share their experiences of implementing the provisions under consideration,

preferably by using the self-assessment checklist and including, where possible, successes, challenges, technical assistance needs and lessons learned in implementation. The Working Group requested the Secretariat to prepare background papers synthesizing that information and decided that panel discussions should be held during its meetings, involving experts from countries that had provided written responses on the priority themes under consideration.

**(a) Thematic discussion on preventing and managing conflicts of interest (article 7, paragraph 4, of the United Nations Convention against Corruption)**

The consideration of the topic on preventing and managing conflicts of interest will be introduced by a panel discussion, including a presentation on the background paper prepared by the Secretariat on that topic, based on input from States parties in advance of the meeting.

**(b) Thematic discussion on asset and interest disclosure systems (article 8, paragraph 5, of the United Nations Convention against Corruption)**

The consideration of the topic on asset and interest disclosure systems will be introduced by a panel discussion, including a presentation on the background paper prepared by the Secretariat on that topic, based on input from States parties in advance of the meeting.

**Documentation**

Background paper prepared by the Secretariat on preventing and managing conflicts of interest (article 7, paragraph 4, of the United Nations Convention against Corruption) (CAC/COSP/WG.4/2018/2)

Background paper prepared by the Secretariat on asset and interest disclosure systems (article 8, paragraph 5, of the United Nations Convention against Corruption) (CAC/COSP/WG.4/2018/3)

**3. Recommendations and future priorities**

A discussion will be held on recommendations in relation to the implementation of Conference resolutions 7/5 and 7/6, as well as on the future work of the Working Group in advising and assisting the Conference in the implementation of its mandates on the prevention of corruption, in the light of relevant Conference resolutions.

**Documentation**

Report prepared by the Secretariat on the status of implementation of Conference of the States Parties to the United Nations Convention against Corruption resolutions 7/5 and 7/6 (CAC/COSP/WG.4/2018/4)

**4. Adoption of the report**

The Working Group is to adopt a report on its meeting, the draft of which will be prepared by the Secretariat.

# Annex

## Proposed organization of work

| *Date* | *Time* | *Item* | *Title or description* |
|---|---|---|---|
| Wednesday, 5 September | 3–6 p.m. | 1 (a) | Opening of the meeting |
| | | 1 (b) | Adoption of the agenda and organization of work |
| | | 2 (a) | Thematic discussion on preventing and managing conflicts of interest (art. 7, para. 4, of the United Nations Convention against Corruption) |
| Thursday, 6 September | 10 a.m.–1 p.m. | 2 (a) | Thematic discussion on preventing and managing conflicts of interest (art. 7, para. 4, of the United Nations Convention against Corruption) (*continued*) |
| Thursday, 6 September | 3–6 p.m. | 2 (b) | Thematic discussion on asset and interest disclosure systems (art. 8, para. 5, of the United Nations Convention against Corruption) |
| Friday, 7 September | 10 a.m.–1 p.m. | 3 | Recommendations and future priorities |
| Friday, 7 September | 3–6 p.m. | 4 | Adoption of the report |



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